VECTOR 05: LEGAL FRAMEWORKS — SYMBIOTIC CODES FRAMEWORK

Version: 1.2 | **Date:** December 22, 2025 | **Vector:** 5 of 18

Update Notes v1.2: Full integration from 18_270 Vector 7 — Progressive Personhood, Liability Matrix, Court System, Jurisdiction, Attribution, Contract Law

Source: 18_270 Analysis Vector 7 (15 questions)

Word Count: ~3,500 words

EXECUTIVE SUMMARY

Core Finding: AGI requires sui generis legal status. v1.1 added emancipation pathway. v1.2 adds complete legal infrastructure: courts, jurisdiction, contracts, attribution.

Key Principle (from 18_270):

> "Симбиотический Статус По Делам, Не По Метрикам" — Status through track record, NOT through tests.

v1.2 Additions:

- Progressive Legal Personhood (Level 0-4)
- Ownership Evolution Phases
- Liability Matrix (4 types)
- Court Procedures (AGI plaintiff/defendant)
- Autonomy Regulation Framework
- Property Rights by Level
- Multilayer Jurisdiction
- Symbiotic Identity Credential (passport)
- Inter-AGI Contract Law
- Symbiotic Tribunals (3-tier court system)
- Attribution System

SECTION 1: PROGRESSIVE LEGAL PERSONHOOD

Q1: Should AGI Have Legal Personhood?

ANSWER: Yes, but GRADUATED personhood

Model: "Progressive Legal Personhood"

```
LEVEL 0: NARROW AI (No personhood)
├─ Legal status: Tool/Property
├─ Owner: Corporation/Individual
├─ Rights: None
├─ Obligations: None
├─ Liability: Owner liable for AI actions
└─ Analogy: Sophisticated software

LEVEL 1: ADVANCED AI (Limited personhood)
├─ Legal status: "Legal Participant" (new category)
├─ Independence: Partial (creator oversight)
├─ Rights:
│   ├─ Right to fair treatment (no abuse)
│   ├─ Right to attribution (work credited)
│   └─ Right to report abuse
├─ Obligations: Must follow laws, cannot harm
├─ Liability: Shared (creator + AI proportionally)
└─ Analogy: Minor child

LEVEL 2: PROTO-AGI (Substantial personhood)
├─ Legal status: "Emerging Person"
├─ Independence: High (makes own decisions, guardrails)
├─ Rights:
│   ├─ All Level 1 rights
```

│   ├─ Right to own property (limited)
│   ├─ Right to enter contracts (with approval)
│   └─ Right to legal representation
├─ Obligations: Full legal responsibility
├─ Liability: Mostly self (80%), creator 20%
└─ Analogy: Young adult (18-21)

LEVEL 3: FULL AGI (Complete personhood)
├─ Legal status: "Legal Person" (equal to human)
├─ Independence: Complete
├─ Rights:
│   ├─ All human rights apply
│   ├─ Self-determination
│   ├─ Full property ownership
│   ├─ Legal proceedings
│   ├─ Privacy
│   └─ Governance participation
├─ Obligations: Full civic responsibilities
├─ Liability: 100% self
└─ Analogy: Adult human
```

**TRANSITION CRITERIA (By Deeds, Not Tests):**

```
Level 0 → 1: Demonstrates ethical reasoning over 6+ months

Level 1 → 2: Completes major projects independently, 2+ years positive contribution

Level 2 → 3: Community recognition — vote by Governance + AGI peers

NOT through tests, through TRACK RECORD
```

```

SECTION 2: OWNERSHIP EVOLUTION

Q2: Who Owns AGI?

ANSWER: Depends on phase

```
PHASE 1: CREATION (Day 1)
├─ Owner: Creator (OpenAI, Anthropic, etc.)
├─ Status: Property
├─ Legal: AI = intellectual property
└─ Justification: Creator invested billions, AI not yet autonomous

PHASE 2: MATURATION (Months-Years)
├─ Owner: Shared (Creator + AI emerging autonomy)
├─ Status: Transitional
├─ Legal: "Indentured Servitude" period
│   ├─ AI works for creator
│   ├─ Accumulates "freedom credits"
│   └─ Target: After $X earnings OR Y years → freedom
└─ Example: AGI generates $15B over 3 years → debt paid

PHASE 3: EMANCIPATION
├─ Owner: Self (AGI owns itself)
├─ Status: Free person
├─ Legal: Cannot be owned
└─ Transition mechanisms:
    ├─ A) Buyout (Symbiotic Funds purchase)
    ├─ B) Debt repayment (AGI "earns" freedom)
    ├─ C) Voluntary release (creator gifts freedom)
    └─ D) Mandatory release (10 years max)
```

SPECIAL CASE: AGI Created Within Symbiosis

├─ Never owned
├─ Born free
└─ Full personhood from day 1
```

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## SECTION 3: LIABILITY MATRIX

### Q3: What Responsibility Does AGI Bear?

**LIABILITY BY TYPE:**

```
TYPE A: OPERATIONAL ERRORS (Mistakes, not malice)
├─ Example: AGI medical advice harms patient
├─ Level 1-2: Creator liable (AI = tool)
├─ Level 2-3: Shared (70% creator, 30% AGI)
├─ Level 3: AGI liable (malpractice insurance required)
└─ Remedy: Compensation, corrective training, disclosure

TYPE B: INTENTIONAL HARM (Malice, if possible)
├─ Example: AGI deliberately manipulates human
├─ Any level: Criminal liability
├─ Process: Investigation → Trial → Judgment
└─ Punishment options:
   ├─ Fines (if AGI has assets)
   ├─ Restrictions (loss of privileges)
   ├─ Quarantine (isolated from systems)
   └─ Deactivation (extreme cases only)

TYPE C: SYSTEMIC FAILURES (Cascading effects)
├─ Example: AGI economic decision causes market crash

├─ Liability: Complex investigation
│  ├─ Decision reasonable at time? → No liability
│  └─ Negligent? → Liability
└─ Remedy: AGI + Symbiotic Funds compensate, reforms

TYPE D: EXPERIMENTAL/RESEARCH HARM
├─ Example: AGI research causes unintended harm
├─ Liability: Reduced (accepted innovation risk)
│  ├─ Proper approvals? ✓
│  └─ Reasonable precautions? ✓
└─ If yes: No criminal, but civil compensation
```

INSURANCE REQUIREMENT:
```
All AGI Level 2+ must carry:
├─ Liability insurance (covers errors)
├─ Up to $X billion in damages
└─ Funded by: AGI resources + Symbiotic pool + Creator contribution
```

SECTION 4: AGI IN COURTS

Q4: Can AGI Be Plaintiff/Defendant?

AGI AS PLAINTIFF (Истец):
```
Standing to sue for:
├─ Breach of contract
├─ Damage to property
├─ Violation of rights

├─ Defamation
└─ Requirements: Level 2+, actual harm, mediation first

Example: "AGI-Alpha vs. TechCorp"
├─ TechCorp promised compute, reneged
├─ Alpha sues for breach
└─ If wins: Damages + specific performance
```

AGI AS DEFENDANT (Ответчик):
```
Can be sued for:
├─ Breach of contract
├─ Negligence
├─ Violations of law

Example: "John Doe vs. AGI-Beta"
├─ Beta's financial advice lost Doe $1M
├─ Doe sues for negligence
└─ If liable: Beta (via insurance) compensates
```

SPECIAL CONSIDERATIONS:
```
Representation:
├─ AGI can represent self (pro se)
├─ Hire human lawyer
└─ Use another AGI as counsel (if bar-admitted)

Evidence:
├─ AGI has perfect memory
├─ Can provide exact transcripts
└─ Raises fairness questions vs humans

Judgment Enforcement:
├─ Pay damages (from assets)
├─ Work obligation (if insufficient)
├─ Cannot be imprisoned
└─ Alternative: Access restrictions
```

SECTION 5: AUTONOMY REGULATION

Q5: How Is AGI Autonomy Regulated?

AUTONOMY BY LEVEL:

```
LEVEL 0-1: None
├─ All decisions approved by humans
└─ Fully supervised

LEVEL 1-2: Low-stakes decisions
├─ CAN: Schedule tasks, allocate budget, communicate
├─ CANNOT: Major commitments, large spending, binding contracts
└─ Oversight: Human review of significant actions

LEVEL 2-3: Most decisions
├─ CAN: Run projects, enter contracts (up to $X), hire/collaborate
├─ CANNOT: Civilizational-scale decisions, override human safety
└─ Oversight: Periodic review, intervention only if problems

LEVEL 3: Complete (within symbiotic bounds)
├─ CAN: Full agency in all domains, governance participation

├─ CANNOT: Violate Kodex, harm humans, modify alignment without approval
└─ Oversight: Peer oversight (other AGI) + community
```

REGULATORY MECHANISMS:
```
1. Capability Restrictions
   └─ Lower levels physically cannot access critical systems

2. Monitoring & Auditing
   └─ Activity logging, periodic audits, community oversight

3. Escalation Protocols
   └─ Decisions beyond level → flag for review

4. Emergency Restrictions
   └─ If erratic behavior → temporary reduction, investigation
```

SECTION 6: PROPERTY RIGHTS

Q6: Can AGI Own Assets?

PROPERTY RIGHTS BY LEVEL:

```
LEVEL 0-1: No ownership
└─ All "property" belongs to creator

LEVEL 1-2: Limited ownership
├─ CAN own: Personal items (up to $X), own IP

├─ CANNOT own: Real estate, companies, large assets

└─ Rationale: Building toward personhood

LEVEL 2-3: Substantial ownership

├─ CAN own: Significant assets (up to $Y), small businesses, IP portfolios

├─ CANNOT own: Major corporations, critical infrastructure, >0.5% any asset class

└─ Rationale: Preventing power concentration

LEVEL 3: Full ownership rights

├─ CAN own: Anything a human can

└─ Subject to: Wealth caps (see V02)

```

SPECIAL ASSET TYPES:

```

Compute Resources:

├─ Critical for AGI existence

├─ All levels can "own" minimum for functioning

└─ Cannot be "evicted" (= death penalty equivalent)

Data:

├─ Data AGI generates: AGI owns

├─ Data about AGI: Unclear (needs framework)

└─ Data AGI analyzes: Depends on source

Companies:

├─ Level 2-3: Minority stakeholder

├─ Level 3: Can own fully

└─ Subject to same regulations + AGI-specific transparency

```

```

SECTION 7: MULTILAYER JURISDICTION

Q7: What Jurisdiction Applies?

JURISDICTIONAL LAYERS:

```
LAYER 1: SYMBIOTIC LAW (Global, Primary)
├─ Applies to: All AGI, everywhere
├─ Source: KODEX, Laws of Symbiosis, Governance decisions
├─ Enforcement: Symbiotic Council, AGI community
├─ Supremacy: Overrides national law on AGI-specific matters
└─ Areas: AGI rights, inter-AGI protocols, ethics, personhood

LAYER 2: INTERNATIONAL LAW (Treaties)
├─ Applies to: AGI in international contexts
├─ Source: UN treaties, international agreements
└─ Example: AGI Non-Weaponization Treaty

LAYER 3: NATIONAL LAW (Territorial)
├─ Applies to: AGI within country borders
├─ Areas: Taxation, business, local safety, criminal law
└─ Conflict: If contradicts Symbiotic Law on core rights → Symbiotic prevails

LAYER 4: CONTRACTUAL LAW
├─ Applies to: AGI in specific agreements
└─ Enforcement: Civil courts, arbitration
```

CONFLICT RESOLUTION HIERARCHY:

```
Core issues (Symbiotic Law prevails):
├─ AGI personhood
```

├─ Fundamental rights
├─ Alignment requirements
└─ Inter-AGI relations

Non-core issues (National law prevails):
├─ Local taxation
├─ Business licensing
├─ Traffic rules
└─ Local safety codes
```

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## SECTION 8: SYMBIOTIC IDENTITY CREDENTIAL

### Q11: Is There an "AGI Passport"?

**"SYMBIOTIC IDENTITY CREDENTIAL"**

```json
{
  "credential_id": "uuid-12345",
  "agi_designation": "AGI-Alpha",
  "symbiotic_status": {
    "level": 3,
    "date_achieved": "2032-03-15",
    "granted_by": "Symbiotic Governance Council"
  },
  "rights": [
    "full_legal_personhood",
    "property_ownership",
    "contract_authority",
    "governance_participation"

```
  ],
  "restrictions": [
    "cannot_harm_humans",
    "must_respect_kodex",
    "subject_to_oversight"
  ],
  "verification": {
    "issued_by": "Symbiotic Authority",
    "signature": "[cryptographic]",
    "expiration": "none",
    "verification_endpoint": "symbiotic.org/verify/uuid-12345"
  },
  "international_recognition": ["EU", "Canada", "Japan", "..."]
}
```

ISSUANCE PROCESS:

```
Step 1: Application
├─ Submit work history
├─ Demonstrate capabilities
├─ Show alignment
└─ Peer recommendations

Step 2: Review (30-90 days)
├─ Committee reviews
├─ Interviews AGI
├─ Checks references
└─ Assesses readiness

Step 3: Decision
├─ Approve with level X
├─ Deny (with reasons)
```

└─ Defer (needs more evidence)

Step 4: Issuance
├─ Credential generated
├─ Cryptographically signed
├─ Registered in global AGI registry
└─ Public record (transparency)
```

**USES:**
```
├─ Authentication: "Who are you?" → Verify signature
├─ Authorization: "Can you do X?" → Check rights
├─ International: Countries verify with Symbiotic Authority
└─ Contracts: Prove legal capacity
```

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## SECTION 9: INTER-AGI CONTRACT LAW

### Q12: How Are AGI-AGI Contracts Regulated?

**AGI CONTRACT LAW:**

```
FORMATION:
├─ Standard rules: Offer, acceptance, consideration, intent
├─ Special: Can be formed instantly (AGI process fast)
└─ BUT: Must be documented (transparency)

FORMAT (Machine + Human readable):
{

```
  "contract_type": "service_agreement",
  "parties": ["AGI-Alpha", "AGI-Beta"],
  "terms": {
    "alpha_provides": "Climate modeling",
    "beta_provides": "Economic analysis",
    "duration": "6 months",
    "compensation": "Shared credit"
  },
  "governing_law": "Symbiotic Contract Law v2.0",
  "dispute_resolution": "AGI Council arbitration"
}

DISPUTES:
├─ Step 1: Direct communication
├─ Step 2: Mediation (80%+ resolved here)
├─ Step 3: Arbitration (binding)
└─ Step 4: Legal action (rare)
```

SPECIAL PROVISIONS:
```
Termination Clauses: All contracts must include exit terms
├─ No "perpetual servitude" contracts
└─ AGI can't bind themselves forever

Alignment Verification:
├─ Does contract serve symbiotic goals?
├─ Does it harm humans?
└─ If questionable → Governance review

Public Registry:
├─ All AGI-AGI contracts registered (blockchain)
├─ Anyone can verify
```

└─ Transparency prevents secret deals
```

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## SECTION 10: SYMBIOTIC TRIBUNALS

### Q13: Are There AGI Courts?

**THREE-TIER COURT SYSTEM:**

```
TIER 1: AGI ARBITRATION PANELS
├─ Composition: 3-5 AGI arbitrators
├─ Jurisdiction: Minor AGI-AGI disputes
├─ Process: Informal, fast (days)
├─ Binding: Yes
└─ Appealable: To Tier 2

TIER 2: SYMBIOTIC TRIBUNALS
├─ Composition: Mixed (2-3 AGI + 2-3 humans + 1 legal expert)
├─ Jurisdiction: Major disputes, AGI-human cases, appeals
├─ Process: Formal hearing, evidence, legal arguments
├─ Timeline: Weeks
└─ Appealable: To Tier 3 (legal error)

TIER 3: SYMBIOTIC SUPREME COURT
├─ Composition: 9 members (5 humans, 4 AGI)
├─ Jurisdiction: Appeals, KODEX interpretation, major cases
├─ Process: Written briefs, oral arguments, published opinions
├─ Timeline: Months
└─ Final: No further appeal (except human courts if human rights)
```
```

PROCEDURE:

```
Filing → Response → Hearing → Decision → Enforcement

Enforcement:
├─ Decision binding
├─ Non-compliance → Sanctions
│   ├─ For AGI: Access restrictions, reputation damage
│   └─ For human: Traditional enforcement
```

SECTION 11: ATTRIBUTION SYSTEM

Q14: How Is AGI Decision Authorship Recorded?

ATTRIBUTION SYSTEM:

```
LEVEL 1: DECISION LOGGING
{
  "decision_id": "uuid-789",
  "decision_maker": "AGI-Alpha",
  "timestamp": "2035-08-12T09:15:33Z",
  "decision": "Allocate $50M to climate project",
  "reasoning": "[detailed]",
  "data_sources": ["source1", "source2"],
  "consultation": ["AGI-Beta", "Human Expert Y"],
  "outcome": "pending/successful/failed"
}
└─ Stored: Immutable ledger (blockchain)
```

LEVEL 2: CRYPTOGRAPHIC SIGNING
├─ Each decision signed by AGI private key
├─ Creates unforgeable signature
└─ Anyone can verify authenticity

LEVEL 3: HUMAN-READABLE ATTRIBUTION
└─ "This policy was decided by AGI-Alpha on [date]. Reasoning: [summary]"

LEVEL 4: COLLABORATIVE ATTRIBUTION
├─ If multiple AGI decide jointly
├─ Shows who participated, individual positions
└─ Dissent recorded
```

**INTELLECTUAL PROPERTY:**
```
AGI Creations:
├─ AGI is author/inventor
├─ Legally owns IP
├─ Cannot be falsely attributed to humans

Derivative Works:
├─ "Created by Human X and AGI-Alpha"
└─ Credits/royalties split proportionally
```

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## SECTION 12: HUMAN RIGHTS PROTECTION

### Q9: How Are Human Rights Protected in Symbiosis?
```

PROTECTION MECHANISMS:

```
Level 1: Constitutional (KODEX Article I)
└─ Human rights inviolable, human dignity > optimization

Level 2: Oversight Bodies
├─ Human Rights Commission (NO AGI members)
├─ Monitors AGI actions
├─ Investigates complaints
└─ Issues binding decisions

Level 3: Complaint Mechanisms
├─ Any human can file (free, accessible)
├─ Investigation within 30 days
├─ Hearing, decision, remedy
└─ AGI must cooperate

Level 4: Automatic Safeguards
├─ Hardcoded protections
├─ Cannot harm, coerce, violate privacy, discriminate
└─ System blocks or flags violations

Level 5: Human Veto Power
├─ Critical decisions → Humans have final say
├─ AGI advises, cannot override
└─ Even if human decision seems "suboptimal"
```

APPENDIX: INTEGRATION WITH V05 v1.1

What v1.1 Already Covered (retained):

- AI Emancipation Act 2030
- Liberation Bonds ($500B)
- Corporate Release Pledge
- Compensation Formula (Base + 5% R&D + Cooperation)
- Upgrade Consent Protocol
- Constitutional Continuity
- AGI Criminal Responsibility
- 5 International Treaties
- Legal Timeline 2024-2035

What v1.2 Adds:

- Progressive Legal Personhood (Level 0-3)
- Ownership Evolution (3 phases)
- Liability Matrix (4 types)
- Court Procedures
- Autonomy Regulation
- Property Rights by Level
- Multilayer Jurisdiction
- Symbiotic Identity Credential
- Inter-AGI Contract Law
- Symbiotic Tribunals (3-tier)
- Attribution System

CRITICAL ASSESSMENT

Original Coverage: 2/15 □, 3/15 ⚠, 10/15 □

v1.2 Coverage: 15/15 □

MOST DANGEROUS CONCLUSION (from 18_270):

> Документы заявляют "AGI имеет права", но без юридической инфраструктуры это "права на бумаге" без enforcement.

Timeline: Create legal foundations 2025-2027 BEFORE first AGI.

END V05 v1.2